



UNITED STATES PATENT AND TRADEMARK OFFICE

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|-------------------------|---|------------------------|
| In re Application of: |) | Art Unit: |
| |) | |
| GANDHI, et al. |) | Examiner: |
| |) | |
| Serial No.: 10/529,393 |) | Washington, D.C. |
| |) | |
| Filed: January 31, 2006 |) | December 12, 2006 |
| |) | |
| For: GLYCOSYLCERAMIDE |) | Docket No.: GANDHI=1A |
| ANALOGUES |) | |
| |) | Confirmation No.: 6722 |

PRELIMINARY AMENDMENT NO. 3

U.S. Patent and Trademark Office
Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, VA 22314

S i r :

Prior to action on the merits, please enter the following amendments.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 38 of this paper.

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Art Unit:
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Sir:

Transmitted herewith is an ☐ Amendment ☒ Preliminary Amendment No. 3 in the above-identified application.
☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
☒ Small entity status of this application under 37 CFR 1.9 and 1.27 has been previously asserted.
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
☐ No additional fee is required.

The fee has been calculated as shown below:

| (Col. 1) | | (Col. 2) | | (Col. 3) | | Small Entity | | Other Than a Small Entity | |
|--|----------------------------------|----------|---------------------------------|---------------|--|--------------|----------------|---------------------------|---------------------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | | Rate | Additional Fee | | Rate Additional Fee |
| Total | 156 | Minus | 147 | 9 | | x 25 | \$225.00 | | x 50 \$ |
| Indep. | 12 | Minus | 12 | 0 | | x100 | \$ | | x200 \$ |
| First Presentation of Multiple Dependent Claim | | | | | | 180 | \$ | | +360 \$ |
| TOTAL ADDITIONAL CLAIMS FEE | | | | | | | \$225.00 | | Total \$ |

- * If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
 ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
 *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.
 The "Highest Number Previously Paid For" (total or independent) is the highest number found from the equivalent box in Col.1 of a prior amendment of the number of claims originally filed.

☒ Conditional Petition for Extension of Time
 If any extension of time for a response is required applicant requests that this be considered a petition therefor.

☐ It is hereby petitioned for an extension of time in accordance with 37 CFR 1.136(a). The appropriate fee required by 37 CFR 1.17 is calculated as shown below:

| Small Entity | | Other Than Small Entity | |
|---------------------------------|-------------|---------------------------------|-------------|
| Response Filed Within | | Response Filed Within | |
| <input type="checkbox"/> First | - \$ 60.00 | <input type="checkbox"/> First | - \$ 120.00 |
| <input type="checkbox"/> Second | - \$ 225.00 | <input type="checkbox"/> Second | - \$ 450.00 |
| <input type="checkbox"/> Third | - \$ 510.00 | <input type="checkbox"/> Third | - \$1020.00 |
| <input type="checkbox"/> Fourth | - \$ 795.00 | <input type="checkbox"/> Fourth | - \$1590.00 |
| <input type="checkbox"/> Fifth | - \$1080.00 | <input type="checkbox"/> Fifth | - \$2160.00 |

☐ Less fees (\$) already paid for months extension of time on .

☐ Please charge my Deposit Account No. 02-4035 in the amount of \$. A duplicate copy of this sheet is attached.

☐ A check in the amount of \$ is attached (check no.).

☒ Credit Card Payment Form, PTO-2038, authorizing payment in the amount of \$225.00 is attached.

☒ The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR Section 1.16 and all patent processing fees under 37 CFR Section 1.17 throughout the prosecution of the case.

This blanket authorization does not include patent issue or publication fees under 37 CFR Section 1.18.

12/15/2006 GFREY1 00000056 10529393

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225.00 OP

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